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Docket: 2854 (203-3081 PCT US IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Buchman, et al.

EXAMINER:

N/Y/K

SERIAL NO.:

10/521,901

GROUP:

Art Unit 3739

FILED:

October 5, 2005

DATED:

August 22, 2007

TITLE:

ELECTROSURGICAL PENCIL WITH DRAG SENSING

CAPABILITY

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SEVENTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO/SB/08A is brought to the attention of the Examiner. Copies of the U.S. patent documents are not provided in accordance with the waiver of that requirement under 37 C.F.R. §1.98.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The attached PTO/SB/08A Form identifies International Application Serial No.

PCT/EP2003/012789 filed on November 15, 2003. Said application was published in German including an English-language Abstract. Applicant(s) submit that the content of said application

CERTIFICATE OF MAILING UNDER 37_C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Dated: August 22, 2007

Nicole Rispone

may be readily ascertained from the drawings and the English-language Abstract thereof.

Accordingly, Applicant(s) submit that no further translation of said application is required.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

§1.56(b).
	This information disclosure statement is being filed within three (3) of the filing date of this application.
	This information disclosure statement is being filed within three (3) sof the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in mational application.
[] first Of §1.114	This information disclosure statement is being filed before the mailing of a fice Action after the filing of a Request for Continued Examination under .
	To the best of Applicant(s) knowledge, this information disclosure ent is being filed before the date of mailing of a first Office Action on the in connection with this case.
foreign	Applicant hereby states that each item of information contained in this ation Disclosure Statement was first cited in any communication from a patent office in a counterpart foreign application not more than 3 months of the filing of this statement as set forth in 37 C.F.R. §1.97(e)(1).
[]	Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(2).
[] .	Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
[]	Please charge the \$130.00 petition fee to Deposit Account No. 21-0550.
[]	Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).
[]	Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to Deposit nt No. 21-0550.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 21-0550. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 21-0550 therefor.

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

Francesco Sardone Reg. No.: 47,918

Attorney for Applicant(s)

Carter, DeLuca, Farrell & Schmidt, LLP 445 Broad Hollow Road - Suite 225

Melville, New York 11747

Tel.: (631) 501-5700 Fax: (631) 501-3526

PTO/SB/08a (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Index the Paperwork Reduction Act of 1995, no persons are required to rescond to a collection of information unless it contains a valid OMB control number.

ubstitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Sheet	1	•	of	

Complete if Known				
Application Number	10/521,901			
Filing Date	October 5, 2005			
First Named Inventor	Buchman, et al.			
Art Unit	3739			
Examiner Name	TBD			
Attorney Docket Number	2854 (203-3081 PCT US)			

		U.S.	PATENT DOCUMENTS	WITHOUT REFERENCES		
Examiner	Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code ^{2 (if brown)}	- MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
/LC/		2,031,682	2-25-1936	Frederick et al.		
, [,		2005/0096681	5-5-2005	Kai et al.		
V		2005/0113824	5-26-2005	Sartor et al.		
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		FOREIGN PA	TENT DOCUMENT	S WITH REFERENCES		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ³ (If Innamn)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Té
/LC/		EP 1656900	5-17-2006	Ethicon Endo Surgery Inc.		\top
	1	EP 1645234	4-12-2006	Sherwood Services AG	-	
V		WO 2004/045436	6-3-2004	Storz Endoskop Produktions		X

		OTHER DOCUMENTS	
Examiner Initials*	Cite No.1		T²
/LC/		Copy of European Search Report from Application EP 07009028 dated July 16, 2007.	

Examiner	/Lee Cohen/	Date Consideral 1/2007

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

1FW 373 9

Docket: 2854 (203-3081 PCT US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Thomas J. Buchman, III

EXAMINER:

N/Y/K

SERIAL NO.:

10/521,901

GROUP:

Art Unit 3739

FILED:

October 5, 2005

DATED:

June 6, 2007

TITLE:

ELECTROSURGICAL PENCIL WITH DRAG SENSING

CAPABILITY

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIXTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

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Dated: June 6, 2007

Nicole Rispone

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Attorney for Applicant(s)

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PTO/SB/08a (08-03)

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(Use as many sheets as necessary)

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Art Unit	3739				
Examiner Name	TBD				
Attorney Docket Number	2854 (203-3081 PCT US)				

				WITHOUT REFERENCES		
Examiner Initials*	Cite No.1	u. 1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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. j		2007/0049926 A1	3-1-2007	SARTOR		
		2007/0093810 A1	4-26-2007	SARTOR		
		D515,412	2-21-2006	WAALER ET AL.		
		D521,641	5-23-2006	RESCHKE ET AL.		
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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ³ (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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Examiner	/Lee Cohen/		Date Considered 09/11/2007
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Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



Docket: 2854 (203-3081 PCT US)

ATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Thomas J. Buchman, III

EXAMINER:

N/Y/K

SERIAL NO.:

10/521,901

GROUP:

Art Unit 3739

FILED:

October 5, 2005

DATED:

December 15, 2006

TITLE:

ELECTROSURGICAL PENCIL WITH DRAG SENSING

CAPABILITY

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

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Dated: December 15, 2006

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- [] This information disclosure statement is being filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114.
- [X] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- [X] Applicant hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement as set forth in 37 C.F.R. §1.97(e)(1).
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PTO/SB/08a (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

(Use as many sheets as necessary)	Examiner
of 1	Attorney I

Complete if Known				
Application Number	10/521,901			
Filing Date	October 5, 2005			
First Named Inventor	Thomas J. Buchman, III			
Art Unit	3739			
Examiner Name	N/Y/K			
Attorney Docket Number	2854 (203-3081 PCT US)			

		U.S.	PATENT DOCUMENTS	WITHOUT REFERENCES	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f/ known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/LC/	-	5,035,695	7-30-1991	WEBER JR., ET AL.	rigues Appeal
1		5,938,589	8-17-1999	WAKO, ET AL.	
V		2005/0059858	3-17-2005	FRITH, ET AL.	·
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		FOREIGN PATE	NT DOCUMENTS WIT	HKEFERENCES		
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	OTHER DOCUMENTS				
Examiner Initials*	Cite No.1		T²		
/LC/		Copy of International Search Report from EP 06 01 4431 dated October 31, 2006.			

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Docket: 2854 (203-3081 PCT US)
AUG 2 4 2006 WIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Thomal L. Buchman, III

EXAMINER:

N/Y/K

SERIAL NO.:

10/521,901

GROUP:

Art Unit 3739

FILED:

October 5, 2005

DATED:

August 22, 2006

TITLE: .

ELECTROSURGICAL PENCIL WITH

DRAG SENSING CAPABILITY

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Dated: August 22, 2006

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/LC/		2,102,270	12-14-1937	HYAMS	
1		3,494,363	2-10-1970	JACKSON	·
		4,620,548	11-4-1986	HASSELBRACK	
		4,796,623	1-10-1989	KRASNER ET AL.	
		5,190,541	3-2-1993	ABELE ET AL.	
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	1	2003/0055421 A1	3-20-2003	WEST ET AL.	
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		FOREIGN PATENT D	OCUMENTS WI	TH REFERENCES		
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Thomal L. Buchman, III

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June 30, 2006

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[]	Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(2).
[]	Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
[]	Please charge the \$130.00 petition fee to Deposit Account No. 21-0550.
[]	Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).
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[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 21-0550. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 21-0550 therefor.

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Respectfully submitted,

Francesco Sardone

Reg. No. 47,918

Attorney for Applicant(s)

Carter, DeLuca, Farrell & Schmidt, LLP 445 Broad Hollow Road - Suite 225

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Tel.: (631) 501-5700 Fax: (631) 501-3526

Approved for use through 07/31/2008. OMB 0851-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number	10/521,901	
Filing Date	October 5, 2005	
First Named Inventor	Thomas L. Buchman, III	
Art Unit	3739	
Examiner Name	N/Y/K	
Attorney Docket Number	2854 (203-3081 PCT US)	

		U.S.	PATENT DOCUMENTS	WITHOUT REFERENCES	•
Examiner		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.¹	Number-Kind Code ^{2 (d known})	- MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
/LC/		5,431,645	7/11/1995	SMITH et al.	
	T	6,955,674	10/18/2005	EICK et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁰
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/Lee Cohen/	09/11/2007
Examiner: Initial if citation considered, whether or nor citation is in conform	ance with MPFP 6609: Draw line through

PARTY 2 2 7006 W

Docket: 2854 (203-3081 PCT US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Thomas L. Buchman, III

EXAMINER:

N/Y/K

SERIAL NO.:

10/521,901

GROUP:

3739

FILED:

October 5, 2005

DATED:

May 19, 2006

TITLE:

ELECTROSURGICAL PENCIL WITH

DRAG SENSING CAPABILITY

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO/SB/08A is brought to the attention of the Examiner. Copies of the U.S. patent documents are not provided in accordance with the waiver of that requirement under 37 C.F.R. §1.98.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 1450 on the date shown below.

Dated: May 19, 2006

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The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

month	s of the filing date of this application.
	This information disclosure statement is being filed within three (3) s of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 ir mational application.
	To the best of Applicant(s) knowledge, this information disclosure ent is being filed before the date of mailing of a first Office Action on the in connection with this case.
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PTO/SB/08a (08-03)
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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Complete if Known		
Application Number	10/521,801	
Filing Date	October 5, 2005	
First Named Inventor	Thomas L. Buchman, III	
Art Unit	3739	
Examiner Name	N/Y/K	
Attorney Docket Number	2854 PCT US (203-3081 PCT US)	

	U.S.PATENTDOCUMENTS WITHOUT REFERENCES							
Examiner	Cite		Cite	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
nitials*	No.1	Number-Kind Code ^{2 (If known})	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear			
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*		FOREIGN PATENT DO	CUMENTS WI	TH REFERENCES		
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	T⁰
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-1111	Applicant of Cited Document	or Relevant Figures Appear	$oxed{oxed}$
/LC/		EP 0 186 369 A	07-02-1986	Valleylab, Inc.		
7LC/		DE 24 29 021 A1	01-08-1976	Erbe Elektromedizin KG		Y

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Examiner Initials*	Cite No.1		T²
/LC/		Copy of ISR from EP 05019882.9 dated February 16, 2006.	
/LC/		Copy of ISR from EP 05021777.7 dated February 23, 2006.	

Examiner	/Lee Cohen/	Date Considered 09/11/2007
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Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP \$609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Docket: 2854 (203-3081 PCT US) N THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Thomal L. Buchman, III

EXAMINER:

N/Y/K

SERIAL NO.:

10/521,901

GROUP:

N/Y/K

FILED:

October 5, 2005

DATED:

April 11, 2006

TITLE:

ELECTROSURGICAL PENCIL WITH

DRAG SENSING CAPABILITY

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

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Applicant would also like to bring the following commonly-owned U.S. Patent Applications to Examiner's attention: U.S. Application No. 11/337,990 filed on January 24, 2006; U.S. Application No.: 11/211,293 filed on August 25, 2005 and U.S. Application No. 11/218,110 filed on September 1, 2005. Copies of these applications are enclosed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Dated: April 11, 2006

Nicole Risports

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Attorney for Applicant(s)

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Melville, New York 11747.

Tel.: (631) 501-5700 Fax: (631) 501-3526



Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

Application Number

10/521,901

2854 (203-3081 PCT US) Applicants

Thomas L. Buchman, III

Filing Date

Group Art Number

October 5, 2005

N/Y/K

U.S. PATENT DOCUMENTS - WITHOUT REFERENCES

Examiner Initial	Document Number	Date	Name		ass	Subclass		Filing Date if Appropriate	
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Examiner

/Lee Cohen/

Date Censidered

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449 Docket Number (Optional) **Application Number** 2854 (203-3081 PCT US) 10/521,901 INFORMATION DISCLOSURE CITATION IN AN APPLICATION Applicants Thomas L. Buchman, III Filing Date Group Art Number October 5, 2005 N/Y/K (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Document Filing Date Examiner Initial Number Date Name Class Subclass if Appropriate /LC/ 10-24-1989 **BLANCH** 4,876,110 4,903,696 2-27-1990 STASZ ET AL. 4,919,129 4-24-1990 WEBER, JR. ET AL. WELCH ET AL. 4,922,903 5-8-1990 4,949,734 8-21-1990 **BERNSTEIN FARIN** 4,969,885 11-13-1990 3-19-1991 DeOliveira et al. 5,000,754 5,011,483 4-30-1991 **SLEISTER** 5,013,312 5-7-1991 PARINS ET AL. 9-10-1991 SINGER 5,046,506 12-24-1991 DINES 5,074,863 4-28-1992 FLACHENECKER ET AL. 5.108.391 5,154,709 10-13-1992 JOHNSON OHTOMO ET AL. 5,167,659 12-1-1992 5,234,429 8-10-1993 **GOLDHABER** 5,261,906 11-16-1993 PENNINO ET AL. BALES ET AL. 5,312,400 5-17-1994 5,312,401 5-17-1994 **NEWTON ET AL. DESAI** 6-21-1994 5,322,503 5,330,470 7-19-1994 **HAGEN WILLARD** 5,423,838 6-13-1995 **GENTELIA ET AL.** 5,468,240 11-21-1995 Date Considered Examiner /Lee Cohen/

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

orm PTO-1449		Docket Number (Option	Docket Number (Optional)							
INFOR	RMATION DISCLOS	2854 (203-3081	2854 (203-3081 PCT US)							
	IN AN APPLICA	Applicants	Applicants							
		·		Thomas L. Buchman, III						
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Examiner	/Lee Cohen/			Date C	Considere 09/11/20	d				

				Docket Number (Optional)				Application Number			
IN AN APPLICATION Applicants					03-3081 PCT US)				10/521,901		
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Examiner /Lee Cohen/					Date Considered 09/11/2007						

Application Number Form PTO-1449 Docket Number (Optional) 2854 (203-3081 PCT US) 10/521,901 INFORMATION DISCLOSURE CITATION Applicants IN AN APPLICATION Thomas L. Buchman, III Filing Date Group Art Number October 5, 2005 N/Y/K (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Document Filing Date Examiner Subclass | if Appropriate Initial Number Date Name Class 8-14-2001 PRIVITERA ET AL. /LC/. 6,273,862 B1 EGGERS ET AL. 6,277,083 B1 8-21-2001 9-11-2001 HEIM ET AL. 6,287,305 B1 6,325,799 B1 12-4-2001 **GOBLE** 6,350,276 B1 2-26-2002 **KNOWLTON** 3-12-2002 COSMESCU 6,355,034 B2 6,395,001 B1 5-28-2002 ELLMAN ET AL. KEPPEL ET AL. 6,402,741 6-11-2002 BLEWETT ET AL. 6-11-2002 6,402,742 B1 6,402,743 B1 6-11-2002 ORSZULAK ET AL. 6,409,725 B1 6-25-2002 KHANDKAR ET AL. 6,413,255 B1 7-2-2002 STERN 7-9-2002 EDWARDS ET AL. 6,416,491 B1 7-9-2002 **GOBLE ET AL.** 6,416,509 B1 7-30-2002 KNOWLTON 6,425,912 B1 **POZZATO** 6,458,122 B1 10-1-2002 6,458,125 B1 10-1-2002 COSMESCU 6,461,352 B2 10-8-2002 MORGAN ET AL. SCHULZE ET AL. 6,464,702 B2 10-15-2002 EGGERS ET AL. 6,471,659 B2 10-29-2002 **DENG** 6,500,169 B1 12-31-2002 GENTELIA ET AL. 6,511,479 B2 1-28-2003 Date Considered Examiner /Lee Cohen/ Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449 Docket Number (Optional) Application Number 2854 (203-3081 PCT US) 10/521,901 INFORMATION DISCLOSURE CITATION Applicants IN AN APPLICATION Thomas L. Buchman, III Filing Date Group Art Number October 5, 2005 N/Y/K (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Examiner **Document** Filing Date Name Class Subclass | if Appropriate Initial Number Date 6,551,313 B1 4-22-2003 **LEVIN** /LC/ **CUNNINGHAM ET AL.** 5-6-2003 6,558,383 B2 6,585,664 B2 7-1-2003 BURDORFF ET AL. 7-8-2003 KHANDKAR ET AL. 6,589,239 B2 6,610,054 B1 8-26-2003 EDWARDS ET AL. ELLMAN ET AL. 6,610,057 B1 8-26-2003 6,616,658 B2 9-9-2003 **INESON** 6,618,626 B2 9-9-2003 WEST, JR. ET AL. 6,620,161 B2 9-16-2003 SCHULZE ET AL. DAVISON ET AL. 10-14-2003 6,632,193 B1 ELLMAN ET AL. 6,652,514 B2 11-25-2003 **BORKAN** 6,662,053 B2 12-9-2003 12-30-2003 **TAIMISTO** 6,669,691 B1 6,685,701 B2 2-3-2004 ORSZULAK ET AL. 6,685,704 B2 2-3-2004 GREEP **COSMESCU** 6,702,812 B2 3-9-2004 6,712,813 3-30-2004 ELLMAN ET AL. 6,740,079 B1 5-25-2004 EGGERS ET AL. 9-21-2004 **PELLY** 6,794,929 B2 6,830,569 B2 12-14-2004 THOMPSON ET AL. 6,840,948 B2 1-11-2005 ALBRECHT ET AL. 6,855,140 B2 2-15-2005 ALBRECHT ET AL. Examiner Date Considered /Lee Cohen/ Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP \$609; Draw line through

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Form PTO-1449				Docket Number (Optiona	Application Number				
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		Thomas L. Buchma	n, III	1=					
	(Use several sheets if			Filing Date	Group		umber		
	October 5, 2005				I/Y/K				
1		U.S. PATENT	DOCU	MENTS - WITH	OUT	KEFE	KEN		
Examiner Document Number		Date	Name		Class	Sub	class	Filing Date if Appropriate	
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Examiner	/Lee Cohen/				Date		idere		

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449 Docket Number (Optional) **Application Number** 2854 (203-3081 PCT US) 10/521,901 INFORMATION DISCLOSURE CITATION **Applicants** IN AN APPLICATION Thomas L. Buchman, III Filing Date **Group Art Number** October 5, 2005 N/Y/K (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Document Filing Date Examiner Initial Number Date Name Class Subclass if Appropriate 3-13-2003 **ELLMAN ET AL.** /LC/ 2003/0050633 A1 2003/0065321 A1 4-3-2003 CARMEL ET AL. 2003/0078572 A1 4-24-2003 PEARSON ET AL. **VAN WYK** 2003/0083655 A1 5-1-2003 2003/0088247 A1 5-8-2003 INESON 2003/0109864 A1 6-12-2003 GREEP ET AL. GREEP ET AL. 2003/0109865 A1 6-12-2003 2003/0130663 A1 6-10-2003 WALEN KELLOGG ET AL. 2003/0144680 A1 7-31-2003 **GREEP** 2003/0163125 A1 8-28-2003 2003/0199856 A1 10-23-2003 HILL ET AL. 10-23-2003 STERN ET AL. 2003/0199866 A1 10-23-2003 JOHNSON ET AL. 2003/0199869 A1 2003/0212393 A1 11-13-2003 KNOWLTON ET AL. 2003/0216728 A1 11-20-2003 STERN ET AL. 2003/0220635 A1 11-27-2003 KNOWLTON ET AL. 2003/0220638 A1 11-27-2003 **METZGER** 2003/0225401 A1 12-4-2003 EGGERS ET AL. 2003/0229341 A1 12-11-2003 ALBRECHT ET AL. 2003/0229343 A1 12-11-2003 ALBRECHT ET AL. 2004/0000316 A1 1-1-2004 KNOWLTON ET AL. 2004/0002704 A1 1-1-2004 KNOWLTON ET AL. Date Considered Examiner /Lee Cohen/

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP \$609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Date Considered

09/11/2007

Form PTO-1449			Docket Number (Optional)					Application Number			
INFORMATION DISCLOSURE CITATION			2854 (203-3081 PCT US) 10/521,901								
IN AN APPLICATION				Applicants							
			Thomas L. Buchman, III								
		Filing Date Grou					ip Art Number				
·	(Use several sheets if	necessary)		October 5, 2005 N/Y/K							
		U.S. PATENT	DOCU	MENTS - WITH	ΙΟυ	TR	EFE	REN	CES		
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/Lee Cohen/

Examiner

Form PTO-144	9	Docket Number (Option	Docket Number (Optional)					
INF	ORMATION DISCLOS	SURE CITATIO	N 2854 (203-308	2854 (203-3081 PCT US)				
	IN AN APPLICA	ATION	Applicants	Applicants				
•		•.	Thomas L. Buchm	an, III				
			Filing Date		Group Art N			
	(Use several sheets if		October 5, 2005		1	I/Y/K		
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	/Lee Cohen/	
Examiner: 1	Initial if citation considered, whether or nor citation is	in conformance with MPEP \$609; Draw line through

Form PTO-1449			Docket Number (Optio	Docket Number (Optional)					
INFOR	MATION DISCLO	SURE CITATION	2854 (203-308	2854 (203-3081 PCT US)					
	IN AN APPLIC	ATION	Applicants Thomas L. Buchm	Applicants Thomas L. Buchman, III					
•	·		Filing Date	Filing Date Group Art Number					
	(Use several sheets i	f necessary)	October 5, 200	October 5, 2005					
		U.S. PATENT	DOCUMENTS - WIT	HOUT R	EFEREN	NCES			
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate			
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		Number	Date	Country	Class	Subclass	Yes	No
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Examiner	/Lee Cohen/	Date Considered
Examiner: Initial	if citation considered, whether or nor citation	on is in conformance with MPEP \$609; Draw line through

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JC07 Rec'd PCT/PTO 14 APR 2005

PATENT Attorney Docket No.: 2854(203-3081PCTUS) IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Thomas L. Buchman, III

Examiner: Not assigned

Serial No.:

10/521,901

Group: Art Unit Not Assigned

Filed:

January 14, 2005

Dated:

April 12, 2005

For:

ELECTROSURGICAL PENCIL WITH DRAG SENSING CAPABILITY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. It is respectfully submitted that copies of the references listed on pages 1-8 are not required since this application was filed after June 30, 2003 pursuant to 51 C.F.R. 1.98. Copies of foreign references and publications listed on page 9 of form PTO-1449 are enclosed.

Applicants would like to bring parent International Application No. PCT/US03/22900 filed on July 23, 2003 to the Examiner's attention. A copy of this application is enclosed.

Applicant would also like to bring commonly-owned International Application No. PCT/US04/04685 filed on February 17, 2004; U.S. Application No. 10/718,113 filed on November 20, 2003; U.S. Application No. 10/959,824 filed on

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Cernmisetoner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 12, 2005.

Dated: April 12, 2005

Edward C. Meaghe

October 6, 2004; International Application No. PCT/US03/37111 filed on November 20, 2003; U.S. Application No. 29/193,706 filed on November 13, 2003; U.S. Application No. 10/716,776 filed on November 19, 2003 to the Examiner's attention. Copies of these applications are enclosed.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art.

The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

- [X] This information disclosure statement is being filed within three (3) months of the filing date of this application.
- [] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
- [] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.

- [] Enclosed herewith is a certificate under 37 C.F.R. §1.97(e)(1).
- [] Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(2).
 - [] Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
 - [] Please charge the \$130.00 petition fee to Deposit Account No. 21-0550.
- [] Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).
- [] Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. 21-0550.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account <u>21-0550</u>. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. <u>21-0550</u> therefor.

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

Edward C. Meagher Reg. No. 41,189

Attorney for Applicant(s)

Carter, DeLuca, Farrell & Schmidt, LLP 445 Broad Hollow Road Suite 225 Melville, New York 11747

Tel.: (631) 501-5700 Fax: (631) 501-3526

ECM/gm





Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Docket Number (Optional)

Application Number

2854 (203-3081PCTUS)

10/521,901

Applicants

Thomas L. Buchman, III

Filing Date

Group Art Number

(Use several sheets if necessary)

January 14, 2005

Not Assigned

U.S. PATENT DOCUMENTS - WITHOUT REFERENCES

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V	4,619,258	10/28/86	POOL			

Examiner

/Lee Cohen/

Date Considered

Form PTO-1449 Docket Number (Optional) **Application Number** 2854 (203-3081PCTUS) 10/521,901 INFORMATION DISCLOSURE CITATION Applicants IN AN APPLICATION Thomas L. Buchman, III Group Art Number Filing Date January 14, 2005 **Not Assigned** (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Filing Date **Document** Examiner if Appropriate Initial Number Subclass Date Name Class /LC/ 4,625,723 12/2/86 ALTNETHER ET AL. 4,640,279 2/3/87 **BEARD** 2/10/87 **SOLORZANO** 4,642,128 4/7/87 4,655,215 PIKE 4,657,016 4/14/87 **GARITO ET AL.** 8/4/87 4,683,884 HATFIELD ET AL. 4,688,569 8/25/87 **RABINOWITZ** 10/20/87 ROBERTSON ET AL. 4,701,193 **GOODSON ET AL.** 4/5/88 4,735,603 4,754,754 7/5/88 GARITO ET AL. 4,785,807 11/22/88 **BLANCH** 4,827,911 5/9/89 **BROADWIN ET AL.** 4,846,790 7/11/89 HORNLEIN ET AL. 4,850,353 7/25/89 STASZ ET AL. 9/5/89 4,862,890 STASZ ET AL. 4,869,715 9/26/89 **SHERBURNE** 4,886,060 12/12/89 WIKSELL 2/20/90 TRENCONSKY ET AL. 4,901,719 4,909,249 3/20/90 AKKAS ET AL. <u>4,91</u>1,159 3/27/90 JOHNSON ET AL. 4/10/90 4,916,275 **ALMOND** 4,921,476 5/1/90 WUCHINICH Examiner /Lee Cohen/ Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449 Docket Number (Optional) **Application Number** 2854 (203-3081PCTUS) 10/521,901 INFORMATION DISCLOSURE CITATION **Applicants** IN AN APPLICATION Thomas L. Buchman, III Filing Date Group Art Number January 14, 2005 Not Assigned (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Examiner **Document** Filing Date Initial Number Subclass if Appropriate Date Name Class /LC/ 4,931,047 6/5/90 **BROADWIN ET AL.** 4,986,839 1/22/91 WERTZ ET AL. 4,988,334 1/29/91 HORNLEIN ET AL. 5,015,227 5/14/91 **BROADWIN ET AL.** 5,026,368 6/25/91 **ADAIR** 5,055,100 10/8/91 OLSEN 5,071,418 12/10/91 **ROSENBAUM** 5,076,276 12/31/91 SAKURAI ET AL. 5,088,997 2/18/92 DELAHUERGA ET AL. 3/24/92 5,098,430 **FLEENOR** 5,100,402 3/31/92 FAN 5,133,714 7/28/92 **BEANE** 5,147,292 9/15/92 KULLAS ET AL. 5,160,334 11/3/92 **BILLINGS ET AL.** 5,162,044 11/10/92 **GAHN ET AL.** 5,178,605 1/12/93 IMONTI 5,190,517 3/2/93 ZIEVE ET AL. 3/9/93 5,192,267 SHAPIRA ET AL. 5,195,959 3/23/93 SMITH 3/23/93 5,196,007 **ELLMAN ET AL.**

Examiner | Date Considered | 09/11/2007 |

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5,197,962

5,199,944

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP \$609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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Application Number Form PTO-1449 Docket Number (Optional) 2854 (203-3081PCTUS) 10/521,901 INFORMATION DISCLOSURE CITATION Applicants IN AN APPLICATION Thomas L. Buchman, III Group Art Number Filing Date January 14, 2005 **Not Assigned** (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Examiner Document Filing Date Initial Number Date Name Class Subclass if Appropriate /LC/ 6/8/93 5,217,457 DELAHUERGA ET AL. 5.224.944 7/6/93 **ELLIOTT** 5,226,904 7/13/93 **GENTELIA ET AL.** 5,233,515 8/3/93 **COSMAN** 8/10/93 5,234,428 KAUFMAN 9/7/93 5,242,442 HIRSCHFELD 5,244,462 9/14/93 **DELAHUERGA ET AL.** 5,246,440 9/21/93 **VAN NOORD** 5,254,082 10/19/93 **TAKASE** 5,254,117 10/19/93 RIGBY ET AL. 10/26/93 5,256,138 **BUREK ET AL.** 5,269,781 12/14/93 HEWELL, III. 5,300,087 4/5/94 **KNOEPFLER** 5,304,763 4/19/94 ELLMAN ET AL. 5,306,238 4/26/94 **FLEENOR** 5,312,329 5/17/94 BEATY ET AL. 6/7/94 5,318,516 COSMESCU 6/7/94 5,318,565 KURILOFF ET AL. 5,334,183 8/2/94 WUCHINICH 5,342,356 8/30/94 **ELLMAN ET AL.** 5,348,555 9/20/94 ZINNANTI 5,376,089 12/27/94 SMITH Date Considered Examiner /Lee Cohen/

Form PTO-1449 Docket Number (Optional) **Application Number** 2854 (203-3081PCTUS) 10/521,901 INFORMATION DISCLOSURE CITATION **Applicants** IN AN APPLICATION Thomas L. Buchman, III Group Art Number Filing Date January 14, 2005 **Not Assigned** (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Filing Date Document Examiner if Appropriate Class Subclass Initial Number Date Name 5,380,320 1/10/95 **MORRIS** /LC/ 1/17/95 CIMINO ET AL. 5,382,247 3/7/95 5,395,363 **BILLINGS ET AL.** 5,399,823 3/21/95 MCCUSKER 5,401,273 3/28/95 SHIPPERT 4/4/95 5,403,882 HUGGINS 5,406,945 4/18/95 RIAZZI ET AL. 5,409,484 4/25/95 ERLICH ET AL. 5/9/95 **HAENGGI** 5,413,575 5,421,829 6/6/95 OLICHNEY ET AL. 7/11/95 COSMESCU 5,431,650 9/19/95 DE MAAGD ET AL. 5,451,222 5,460,602 10/24/95 **SHAPIRA** SAKURAI ET AL. 5,462,522 10/31/95 1/16/96 STODDARD 5,484,398 5,484,434 1/16/96 CARTMELL ET AL. 5,486,162 1/23/96 **BRUMBACH** 5,498,654 3/12/96 SHIMASAKI ET AL. 7/2/96 **VAN HALE** 5,531,722 5,549,604 8/27/96 SUTCU ET AL. 2/11/97 **BISHOP ET AL.** 5,601,224 SANDOCK 5,609,573 3/11/97 Date Considered Examiner /Lee Cohen/ -09/11/2007 Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Application Number Form PTO-1449 Docket Number (Optional) 2854 (203-3081PCTUS) 10/521,901 INFORMATION DISCLOSURE CITATION **Applicants** IN AN APPLICATION Thomas L. Buchman, III Group Art Number Filing Date January 14, 2005 **Not Assigned** (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Filing Date Examiner Document Subclass if Appropriate Name Initial Number Date Class /LC/ 5,634,912 6/3/97 **INJEV** 7/1/97 **URUETA** 5,643,256 5,669,907 9/23/97 PLATT, JR. ET AL. 5,693,050 12/2/97 **SPEISER** 12/2/97 **WEAVER** 5,693,052 12/16/97 5,697,926 **WEAVER** DIERAS ET AL. 5,702,360 12/30/97 12/30/97 5,702,387 ARTS ET AL. 5,713,895 2/3/98 LONTINE ET AL. 5,720,745 2/24/98 FARIN ET AL. **ROSENBERG** 5,765,418 6/16/98 7/7/98 5,776,092 FARIN ET AL. **CLEMENT** 5,797,907 8/25/98 5,836,897 11/17/98 SAKURAI ET AL. 5,843,109 12/1/98 MEHTA ET AL. 5,868,768 2/9/99 WICHERSKI ET AL. 4/13/99 PRATT ET AL. 5,893,862 8/24/99 5,941,887 STEEN ET AL. 8/31/99 TSONTON ET AL. 5,944,737 9/14/99 SAADAT ET AL. 5,951,581 5,972,007 10/26/99 SHEFFIELD ET AL. 6,004,318 12/21/99 **GARITO ET AL.** Date Considered 09/11/2007 Examiner /Lee Cohen/

Docket Number (Optional) **Application Number** Form PTO-1449 2854 (203-3081PCTUS) 10/521,901 INFORMATION DISCLOSURE CITATION Applicants IN AN APPLICATION Thomas L. Buchman, III Group Art Number Filing Date January 14, 2005 **Not Assigned** (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Examiner **Document** Filing Date Initial Number Class Subclass if Appropriate Name Date /LC/ 6,004,333 12/21/99 SHEFFIELD ET AL. 6,063,050 5/16/00 MANNA ET AL. 6,070,444 6/6/00 LONTINE ET AL. 6,099,525 8/8/00 COSMESCU 6,117,134 9/12/00 **CUNNINGHAM ET AL.** 6,139,547 10/31/00 LONTINE ET AL. 11/7/00 6,142,995 COSMESCU 11/14/00 6,146,353 PLATT, JR. 4/10/01 PLATT, JR. ET AL. 6,213,999 6/19/01 6,249,706 SOBOTA ET AL. 6,251,110 6/26/01 WAMPLER 6,257,241 7/10/01 WAMPLER 6,258,088 7/10/01 TZONEV ET AL. 9/11/01 6,287,344 WAMPLER ET AL. 6,312,441 11/6/01 **DENG** BERRANG ET AL. 6,358,281 3/19/02 6,361,532 3/26/02 **BUREK** 6/11/02 SCHOENMAN ET AL. 6,402,748 6,494,882 12/17/02 LEBOUITZ ET AL. 6,747,218 6/8/04 **HUSEMAN ET AL.** 2003/0004508 1/2/03 MORGAN ET AL. PODHAJSKY ET AL. 2004/0092927 5/13/04 Date Considered Examiner /Lee Cohen/

Application Number Docket Number (Optional) Form PTO-1449 2854 (203-3081PCTUS) 10/521,901 INFORMATION DISCLOSURE CITATION Applicants IN AN APPLICATION Thomas L. Buchman, III Group Art Number Filing Date January 14, 2005 **Not Assigned** (Use several sheets if necessary) U.S. PATENT DOCUMENTS - WITHOUT REFERENCES Filing Date Examiner **Document** Class | Subclass if Appropriate Initial Number Date Name SARTOR ET AL. 2004/0230262 11/18/04 /LC/ D253,247 10/23/79 **GILL** 10/13/92 **BUREK** D330,253 D393,067 3/31/98 **GEARY ET AL.** ROBERTS ET AL. D402,030 12/1/98 ROBERTS ET AL. D402,031 12/1/98 GARITO ET AL. D441,077 4/24/01 D453.222 1/29/02 GARITO ET AL. D493,530 7/27/04 RESCHKE 8/3/04 **RESCHKE** D493,888 D494,270 8/10/04 RESCHKE D495,051 8/24/04 **RESCHKE** D495,052 8/24/04 RESCHKE Date Considered Examiner /Lee Cohen/ Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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